

# Annual Report 2016

IPIS vzw





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Map of Okapi wildlife  
reserve, Mambasa  
(Photo: IPIS)

Cover: Rond point des  
martyrs, Mambasa, DRC  
(Photo: IPIS)



# Board of Directors

Mandates in the Board of Directors are unsalaried. On 01/01/2017, the board was composed of the following persons:

## Chair

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Johan Swinnen, Honorary Ambassador, Former Belgian Ambassador to DR Congo (2004-2008).

## Secretary

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Tom Sauer, Professor International Politics at the University of Antwerp, Department of Political Science.

## Treasurer

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Erik Devogelaere, Manager Finance and Institutional Fundraising at Vredeseilanden.

## Members

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Jean Reynaert, former Director of the Belgian/Flemish NGO federation and former programme coordinator of 11.11.11, the coalition of NGO's, unions, movements and various solidarity groups in Flanders.

Dirk Timmermans, Pedagogical advisor, member of the board of the non-profit organisation Vredescentrum of the province and the city of Antwerp, chair of the Educational Working Group at the United Nations Association of Flanders - Belgium

Cathy Suykens, Senior Operation Risk Manager in the Belgian financial sector.

Jan Pollet, Political Affairs Officer of the Belgian/Flemish Section of Amnesty International.

Tomas Baum, Director of the Flemish Peace Institute.



# From the Chair

Johan Swinnen

*Honorary Ambassador  
Chair to the Board*



It is with great enthusiasm and pride that I can present this overview of IPIS' activities in the year 2016. This year we witnessed the steady continuation of the research projects IPIS has been most noted for in the previous years, such as our flagship project to map security and militarisation at artisanal mining sites in Eastern DR Congo. But we also saw the emergence of new initiatives and partnerships that already give a glance of what 2017 will bring.

In this third and last year of the programme funded by the Belgian Development Cooperation (DGD), IPIS kept fulfilling its role as a solid provider of information and analysis for civil society actors, both in the North and in the region of focus. The programme allowed us to stay engaged in the DR Congo, the Central African Republic, Tanzania, and in high profile networks such as the Kimberley Process and the European Partnership on Responsible Minerals, a recent initiative to which IPIS was a founding member.

The work on responsible mining in Tanzania will find continuation in a new multi-year project that was granted in 2016 by the Belgian Development Cooperation, where IPIS will combine its experience in researching industrial and artisanal mining issues with digital tools for data collection, visualisation, and human rights incident reporting. Our engagement was welcomed by the Belgian embassy and the relevant civil society stakeholders in Tanzania.

The research and capacity enhancement of civil society actors in the Central African Republic that were executed in 2016 will find continuation in a newly signed research project, on a budget from the US State Department, to update the detailed conflict mapping in the country that IPIS published in 2014.

Throughout these activities and assignments, IPIS worked in a collaborative atmosphere and in good partnership with civil society actors, governmental agencies, and private sector initiatives. This approach in dialogue with the widest spectrum of stakeholders in its thematic fields proved once more to be of high added value to the quality of the research findings.

Before closing, I would like to thank my fellow Board members, who offered the essential expertise and skills that are required to oversee the work of a research institute that is always in development, often in a difficult funding context. I believe that the Board meetings have offered an important space to monitor the research activities and to develop and discuss the strategic issues that IPIS will face in the coming years. Lastly, I would like to thank the IPIS staff, who once more managed to deliver an impressive body of high quality output, in a good spirit and with respect for the local context in the region of focus. I look forward to seeing the continuation of their work into 2017.



# Preface

**Filip Reyniers**  
*Director*



Looking back to 2016, I would like to highlight some relevant challenges for the years to come.

In the region of focus for IPIS, predominantly the Great Lakes Region, we witnessed a shrinking space for independent and free research due to increasing tensions surrounding the re-election of several national leaders that have been in power for years, even decades. This situation threatens access to reliable information from the ground.

IPIS has become a rally point for hard to find data on 'conflict minerals' in often remote and conflict prone areas in the Great Lakes Region. IPIS has decided to open up part of its database. We use our knowledge of data collection and data management to assist the development of national and regional databases on artisanal mining. The rapid emergence of these databases and the tendency towards open data brings a great potential for better insight and faster analysis, but also requires further reflections on responsible data management and use.

The bulk of our data springs from the mapping of security and human rights on artisanal mining sites in DR Congo, and from our classic 'conflict mapping' in various countries where natural resources resurface as a conflict driver. In post-conflict areas, we see the further development and spread of responsible sourcing initiatives that maintain 'closed

pipelines' to guarantee that the minerals are conflict free. Even for gold, currently the top conflict mineral, progress is made, though limited in scope and volumes. It will, however, still be important to maintain a broad and layered perspective on the conflict-development issue. The socio-economic situation of miners deserves attention, even after the conflict, when the last soldier or rebel has left the mine.

When it comes to research on arms transfers, IPIS has been supporting the emergence of a global Arms Trade Treaty over the last decade, and remains committed to invest its expertise in support of the implementation of the Treaty. Central to that was our contribution to a recent Larcier commentary on the Treaty, and the development of a series of e-learning modules that will be published in June 2017. However, this shift in attention brings forth the risk that for critical research on irresponsible arms transfers, it will be even harder to secure sufficient funding to adequately fulfil that role. States should welcome and encourage independent monitoring of their involvement in arms transfers.

When taking note of the overview of our projects and activities in 2016, it might be helpful to keep these reflections in mind. The IPIS research team looks forward to engaging on these topics with a wide range of stakeholders, and to offer high quality information and analysis for an informed discussion on these challenges.





Kikongo-Busisi Ferry between  
Mwanza and Geita, Tanzania (Photo: IPIS)



# Mission Statement

The International Peace Information Service (IPIS vzw) is an independent research institute that provides tailored information, analysis and capacity enhancement to support those actors who want to realize a vision of durable peace, sustainable development and the fulfilment of human rights.

Throughout the years, IPIS has developed a geographic focus on sub-Saharan Africa and a thematic focus on natural resources, conflict motives of armed actors, business and human rights, and international arms transfers. Within this scope, IPIS strives to be a center of excellence on field based research.

The research programme Natural Resources brings in-depth research on the exploitation of natural resources. IPIS has a unique expertise on natural resources, its links to conflict and its financing, development, environment, human rights and governance.

The research programme Arms Trade & Security sheds light on facts related to the opaque international arms trade with and in our region of focus. The analysis of these data offers insights into the consequences of arms flows on conflict and underdevelopment, and recommendations to prevent the proliferation of arms

in Sub-Saharan Africa. In this respect, IPIS investigates logistical chains and infrastructure that facilitate arms transfers to our focus region.

The research programme Conflict Mapping aims to map the various motives of conflict actors. A significant part of this research is devoted to the collection of reliable data on the field and its visualisation into cartographic material.

The research programme Business & Human Rights deals with corporate social responsibility (CSR) and the role of the private sector in our focus region. In the course of many years we have witnessed the potential of private companies to create wealth and promote peace, as well as conflict and inequality. The research focuses on all aspects of sustainable development, respect for human rights, and the implementation of self-regulatory and legal frameworks.

In order to ensure that our research and expertise reach as wide an audience as possible, IPIS often complements research with capacity enhancement. Increasingly, IPIS offers stand-alone capacity enhancement, designed to meet the needs and knowledge gaps identified by partners and clients.



# Research Method

The nature of IPIS' research stands midway between a journalistic and an academic approach. With journalism we share a strong focus on the factual, as opposed to the rather theoretical line of approach of academic research. However, IPIS goes deeper and more thoroughly into its themes of research than is usual within the journalistic profession. By doing so, we come near to investigative journalism and its digging extending to sources outside the public domain.

Our gathering of information is a permanent activity and is done through consulting sources on the internet, studying academic and journalistic publications, analysing confidential documents, fieldwork and interviews within an extensive network of contacts built up over many years. That data stream is processed by our researchers and forms the basis of the research projects that we carry out for external clients.

Depending on our partner's requirements, IPIS' output consists of publishable reports or dossiers that are only intended for the client. In the latter case, it can be, for instance, a dossier serving as the basis of an NGO campaign, or one for a public authority to map out its policy options.

The analyses we provide in our dossiers are always accompanied by workable recommendations that have been submitted for review by legal and technical experts and by policy makers. These recommendations are addressed to all the stakeholders involved in the research topic. Besides dossiers and reports, we also compile courses, training modules, and give workshops and lectures.

IPIS often deals with sensitive information and in handling this we pursue deontological principles such as reliability, critical sense, impartiality and check & double-check.



Ruhengeri, Rwanda  
(Photo: IPIS)

# Activity report

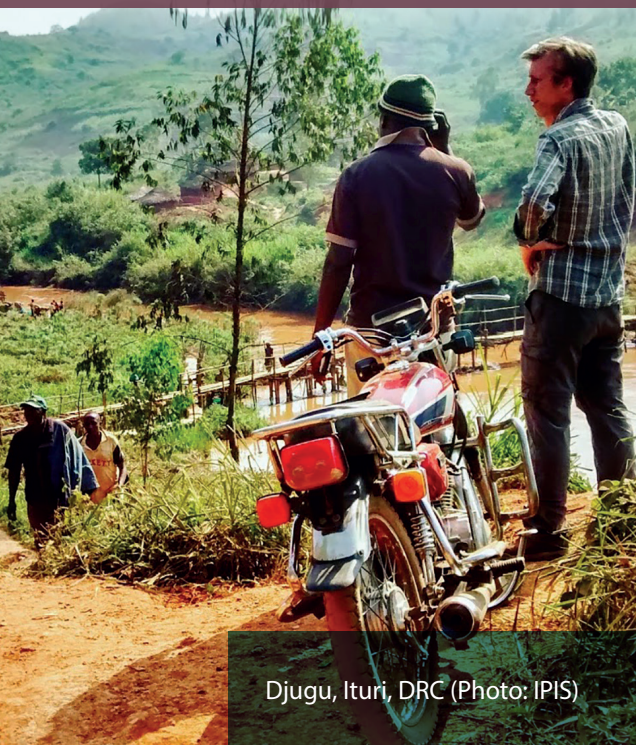
## Research Programmes



# Natural Resources

## Pilot monitoring system for gold production and trade in eastern DRC

Guillaume de Brier and Hans Merket



Djugu, Ituri, DRC (Photo: IPIS)

### Description

With funding from the Public Private Alliance for Responsible Minerals Trade (PPA), IPIS is implementing a pilot monitoring system for gold production and trade in eastern DRC.

The first overall objective of this pilot is to enhance local capacities to monitor gold supply chains and due diligence according to a system that balances data accuracy with safety and security, and cost. Enhanced monitoring will facilitate access to useful data for a variety of stakeholders in support of sector transparency, good governance and responsible sourcing. The implementation of this pilot allows an assessment of the scalability and sustainability of the monitoring system.

In 2016, IPIS has finalized a multi-stakeholder consultation, developed its data collection, assimilation and dissemination methodology and undertook an in-depth prospection that led to the selection of the artisanal gold trading hub of Mambasa (Ituri) as pilot monitoring area. Furthermore, the IPIS project team prepared the ground for the pilot's implementation through training, local outreach and capacity enhancement.

### Output

IPIS produced a first progress report including the results of prospection missions and developed a methodology for data collection, assimilation and dissemination.

### Commissioned by

Public Private Alliance for Responsible Minerals Trade (PPA). PPA is a multi-sector and multi-stakeholder initiative to support supply chain solutions to conflict minerals challenges in the African Great Lakes Region.



IPIS staff with ICGLR secretariat (photo: IPIS)

## Database on mineral flows

Alexandre Jaillon and Filip Hilgert

### Description

IPIS and Estelle Levin Ltd. have been engaged by the Executive Secretariat of the International Conference on the Great Lakes Region (ICGLR) to technically design a Regional Database on mineral flows. This database is one out of the six tools of the Regional Initiative on Natural Resources provided for in the Lusaka declaration on illegal exploitation of natural resources in the region (2010).

IPIS researchers and ELL consultants consulted representatives of the ICGLR, Member State officials and other stakeholders on existing mineral databases and tracking systems, and identified critical requirements for the design of the ICGLR regional database. The technical requirements of the database have been submitted. IPIS is ready to continue working on its implementation and to provide training on its use in the course of 2017.

### Output

Database design and training (planned).

### Commissioned by

Executive Secretariat of the ICGLR







## Civil society incident and impact monitoring in eastern DRC

Fiona Southward, Lotte Hoex  
and Sharon Lecocq

### Description

IPIS and Estelle Levin Ltd engaged in a research project commissioned by Apple to review civil society incident reports in Eastern DRC. IPIS supported ELL in amassing the monitoring and incident reports by civil society in North and South Kivu, Maniema, Tanganyika and Haut Katanga. IPIS assisted in identifying the status and follow up of high risk incidents and unresolved incidents that require further follow up. IPIS has also written an analysis of the impact of responsible sourcing in eastern DRC using the IPIS database of artisanal mining sites visited by IPIS between 2013 and 2015. The findings of this research provides Apple with essential information to respect its due diligence requirements in the use of minerals from conflict prone areas.

### Output

Analysis of civil society incidents reporting shared with Apple.

### Commissioned/funded by

Apple  
In collaboration with Estelle Levin



# Third party review of the Bisie Security Report

Guillaume de Brier and Ken Matthysen



Mining site at Bisie, DRC (Photo: IPIS)

## Description

The 'Bisie Mineral Stock' in DRC encompasses about 1,000 tons of cassiterite, extracted by artisanal miners at Bisie mines between November 2010 and June 2015. A number of bans on mining and mineral trade in this region, as well as some hesitance further down the supply chain to buy untagged minerals, meant that mineral production was not marketed, but stored in warehouses.

From 2014 onwards, national and international stakeholders developed a stock clearance strategy for the sale of these minerals. As a reference for potential purchasers, PACT developed a Bisie Security Report to document the security situation. Considering the complexity of the situation, the Conflict Free Sourcing Initiative (CFSI) contracted IPIS to review the report.

IPIS agreed with PACT on the limited rebel involvement in Bisie mining since the start of the stock accumulation in 2012. IPIS complemented the security report with detailed accounts of indirect illegal taxation by FARDC. Furthermore, IPIS' report discusses i.a. allegations of overestimation of the stock's registered volumes, a lack of transparency regarding financing, the potential risks of creating a precedent for future stock evacuations, and local tensions in and around Bisie.

## Output

Report "Third Party Review of the Bisie Security Report"

The report was shared by both IPIS and CFSI to inform stakeholders when engaging in the stock clearance of the Bisie mineral stock.

➡ <https://ipisresearch.be/publication/third-party-review-of-the-bisie-security-report/?hlite=%22Bisie%22>

## Commissioned by

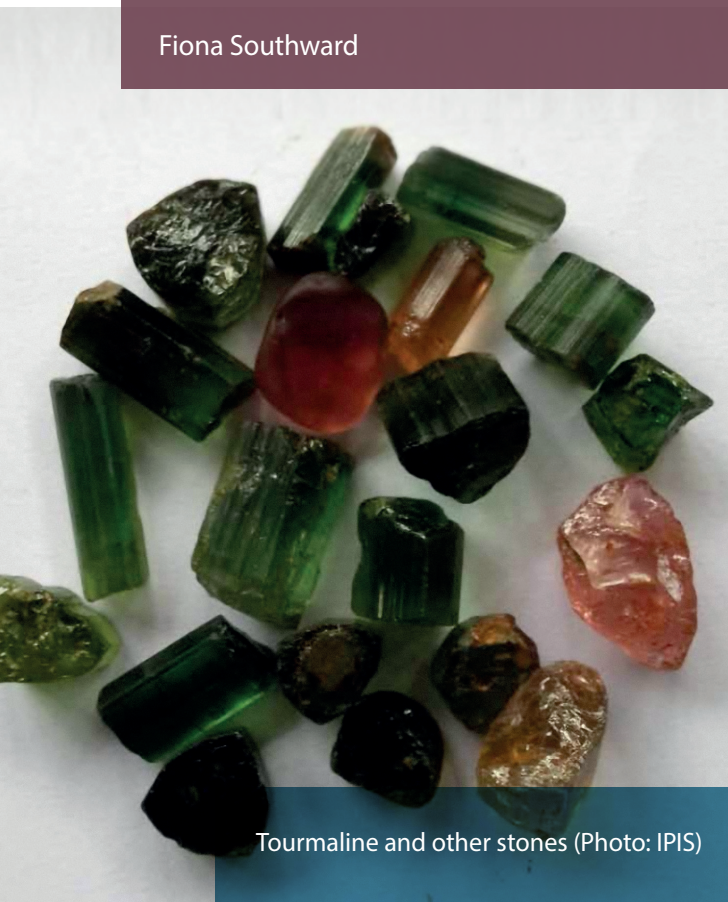
CFSI /EICC





# Coloured gemstones in Eastern DRC: Tourmaline exploitation and trade in the Kivus

Fiona Southward



Tourmaline and other stones (Photo: IPIS)

## Description

IPIS and SaveActMine undertook a joint research mission in September 2015 to look into tourmaline exploitation and trade in the Kivus. The artisanal exploitation of semi-precious gemstones, such as tourmaline, can make notable contributions to local livelihoods. This research confirmed that eastern DRC's tourmaline sector appears to be experiencing notable growth, and suggests that tourmaline may no longer be a marginal issue when it comes to responsible mineral sourcing from eastern DRC. The report (2016) highlights a number of conditions potentially favourable to responsible sourcing from certain areas visited in the context of this study.

## Output

Report "Coloured gemstones in Eastern DRC: Tourmaline exploitation and trade in the Kivus".

➡ [http://ipisresearch.be/publication/coloured-gemstones-in-eastern-drc-tourmaline-exploitation-and-trade-in-the-kivus/?\\_sf\\_s=Tourmaline](http://ipisresearch.be/publication/coloured-gemstones-in-eastern-drc-tourmaline-exploitation-and-trade-in-the-kivus/?_sf_s=Tourmaline)

## Commissioned/funded by

SaveActMine, with the support of the Belgian Development Cooperation



THE BELGIAN  
DEVELOPMENT COOPERATION .be

## Description

## Output

## Commissioned/funded by



PARTNERSHIP AFRICA CANADA  
PARTENARIAT AFRIQUE CANADA



Didier Verbruggen  
for the KP Civil Society Coalition



# Extractive orders: political geography of public authority

Peer Schouten, IPIS associate researcher and researcher Danish Institute for International Studies (DIIS)



(Photo: Peer Schouten)

## Description

Public authority is an essentially contested concept in the Democratic Republic of Congo (DRC). This paper puts the debate about public authority into perspective by arguing that manifestations of public authority differ from one site to another, unfolding in overlapping and shifting spatial patterns along geographies of economic resources and infrastructures of circulation. The paper unpacks the entangled geography of public authority in Ituri by focusing on the organization and distribution of security and justice practices in the region along pathways carved out by gold extraction and circulation.

## Output

Report Extractive orders: a political geography of public authority in Ituri, DRC.

➡ [https://ipisresearch.be/publication/extractive-orders-political-geography-public-authority-ituri-dr-congo/?\\_sf\\_s=political+geography](https://ipisresearch.be/publication/extractive-orders-political-geography-public-authority-ituri-dr-congo/?_sf_s=political+geography)

## Funded by

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Development Cooperation

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# Arms Trade & Security

## E-learning modules for the implementation of the Arms Trade Treaty

IPIS, May 2017

### Description

Since the UN Arms Trade Treaty has entered into force, IPIS has taken up an engagement to contribute to the successful implementation of the Treaty. Discerning a clear need by law makers and officials in the Global South, IPIS was commissioned by the UNSCAR fund to develop a series of e-learning modules covering all aspects of the Arms Trade Treaty. The modules are available in English, Spanish and French, and have been tested in workshops in Zambia, Costa Rica, Brussels and Samoa.

### Output

A series of online interactive e-learning modules to provide officials guidance on the implementation of the UN Arms Trade.

➡ <http://ipisresearch.be/e-learning/att/>

### Commissioned/funded by

UNSCAR



(Photo: courtesy Latvia Ministry of Defense Public Affairs - Creative Commons)







Aircraft Santa Lucia Airways  
(Photo: Don Rogers, 1987)

## Hotel Hercules

Don Rogers

### Description

The report “Hotel Hercules” is the fruit of an encounter and exchange between Sergio Finardi, defence logistics expert and Don Rogers, a flight engineer who operated on aircrafts servicing CIA operations in support of UNITA between 1986 and 1988. Don Rogers shared memories of his flights to UNITA headquarters as a member of secret CIA missions to supply Savimbi’s army from DRC, as well as of the humanitarian flights he performed. His narrative includes interesting elements to understand the dynamics of professional aviation environments and are a unique testimony of secret missions.

IPIS publishes this publication in honour of Sergio Finardi who passed away unexpectedly in December 2015. His final request to IPIS was to finish editing what he was writing.

### Output

Report “Hotel Hercules”.

➔ <https://ipisresearch.be/publication/hotel-hercules/>

### Commissioned/funded by

The Belgian  
Development Cooperation

THE BELGIAN  
DEVELOPMENT COOPERATION **.be**

# China North Industries Corporation – Working Paper 2

Peter Danssaert



CS/VA1 light strike Vehicle  
(Photo: Robin Ballantyne)

## Description

IPIS and the Omega Research Foundation (Omega) have undertaken a comprehensive review of one of China's largest state-owned companies. Norinco – known formally as China North Industries Group (CNGC) was established in 1999 and it consists of at least 46 member units which then have several subordinate companies, joint ventures and associate companies. CNGCs main purpose is to research, develop and manufacture weapons and military equipment for the Chinese armed forces. To accomplish this primary task CNGC combines military research objectives with civil development and production enterprises. Often, the two are difficult to separate.

This is the second report of a Working Paper Series. The first (October 2014) focussed on the structure of CNGC, listing its Member Units and the associated subsidiaries, joint ventures and associate companies.

## Output

Report "China North Industries Corporation – working paper 2".

➡ <https://ipisresearch.be/publication/working-paper-2-china-north-industries-corporation/>

## Funded by

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Containership Al Shabahia  
(Photo: wikimedia commons)

## Fatal Freight - Ships, guns and human rights abuses

IPIS and TransArms USA

### Description

IPIS and TransArms USA undertook a thorough research of the responsibility of states and companies involved in the transfer of military equipment by sea under customary international law. The report considers cases of irresponsible shipments of arms and military commodities to countries with ongoing armed conflicts and escalating human rights violations at the time when the transfers took place. Those shipments would have fallen under the prohibitions established by one or more articles of the Arms Trade Treaty, which came into force on 24 December 2014. However, military fuel and chemicals (such as white phosphorous) are not regulated by the ATT. The report wants to highlight their role in warfare with the aim to indicate a field for future improvements of the ATT.

### Output

Report "Fatal Freight - Ships, guns and human rights abuses".

➔ <https://ipisresearch.be/publication/fatal-freight-ships-guns-human-rights-abuses/>

### Funded by

The Belgian  
Development cooperation

THE BELGIAN  
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# Optimalisation Flemish Arms Trade Decree

IPIS in cooperation with  
Amnesty International Belgium/flemish

## Description

IPIS and Amnesty International Belgium/Flemish Section undertook a joint analysis of the Flemish Arms Trade Decree and drafted recommendations to improve it. Like other IPIS publications on arms trade, this advisory note provides NGO's engaged in campaigning and advocacy with the analysis needed. The note was presented at a parliamentary hearing in May 2017.

## Output

Advisory note and presentation of the findings at a parliamentary hearing, May 2017.

## Funded by

The Belgian  
Development Cooperation

THE BELGIAN  
DEVELOPMENT COOPERATION



Transit and transhipment included  
in the Flemish Arms Decree  
(Photo: Jumilla - Creative Commons)

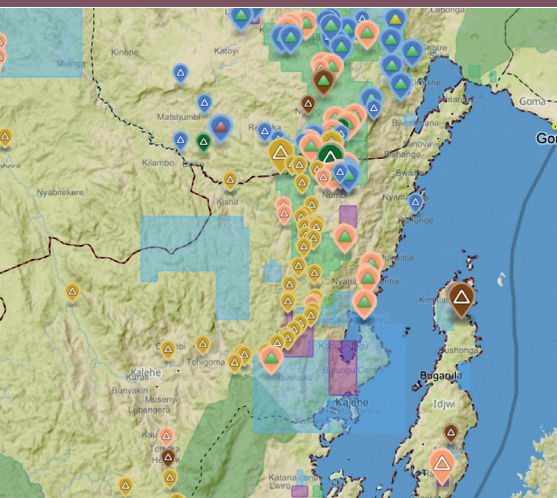




# Conflict Mapping

## Analysis of the interactive map of artisanal mining areas in eastern DR Congo

Ken Matthysen, Lotte Hoex,  
Yannick Weyns



Screenshot from  
the interactive webmap eastern DRC (IPIS)

### Description

IPIS has collected data on 2026 artisanal mining sites in eastern DRC over the last couple of years and observed an armed presence in more than half of the mines. In an interactive map, IPIS provides information about the on-site presence of armed groups and the Congolese army (FARDC) as well as indicators of the relative importance of the site. It also shows whether mining sites have been 'validated' (licensed to operate) by the Congolese government or if they are covered by supply chain control mechanisms. The accompanying report "Analysis of the interactive map of artisanal mining areas in eastern DR Congo" provides an analysis of the collected data and guidance on how to use the interactive map. The project was executed in close collaboration with the Congolese Mining Cadaster (CAMI), the artisanal mining service SAESSCAM and civil society organisations. The report and interactive webmap have been widely shared and used by NGO's, authorities and private actors.

### Output

Report "Analysis of the interactive map of artisanal mining areas in eastern DR Congo - 2015 update" and accompanying interactive webmap, October 25, 2016.

➔ <https://ipisresearch.be/publication/analysis-interactive-map-artisanal-mining-areas-eastern-dr-congo-2/>

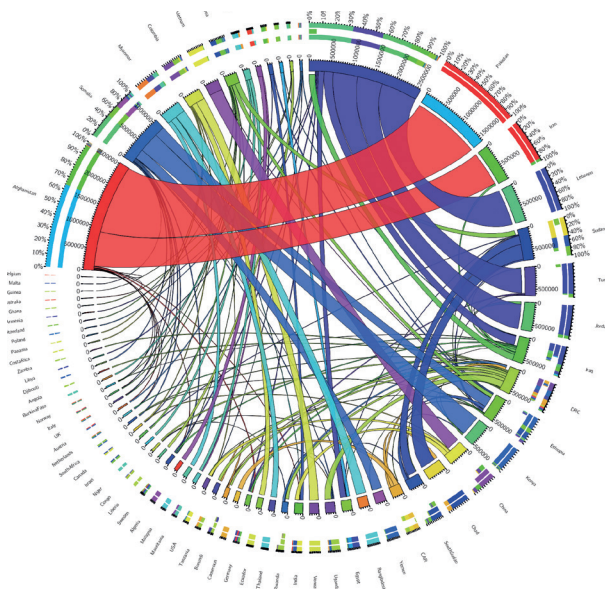
Interactive webmap by Alexandre Jaillon and Filip Hilgert

➔ <http://www.ipisresearch.be/mapping/webmapping/drcongo/v4/>

### Funded by

The International Organisation for Migration (IOM) with the support of USAID and the World Bank/Promines





Visualisation tool transitional justice (IPIS)

Accessible and interactive: new methods of data visualisation as tools for data analysis and information sharing in transitional justice research

Tim Rosenkranz and Alexandre Jaillon

## Description

The production and use of datasets is a growing area in transitional justice research. Interactive data visualization tools adequately present a wide range of quantitative and qualitative data- types, such as geographic, longitudinal, network, and text data. Their interactive functions allow for a better exploration and understanding of the data. IPIS contributed to an article on methods of data visualisation, presenting selected tools for different data types. This provides hands-on methodological examples for effective handling of transitional justice data using, for example, GIS mapping, Google Motion Charts, and Word Trees.

## Output

Rosenkranz, Tim and Jaillon, Alexandre (2016) "Accessible and Interactive: New Methods of Data Visualization as Tools for Data Analysis and Information Sharing in Transitional Justice Research," *Transitional Justice Review*: Vol. 1: Iss. 4, Article 5.

## Funded by

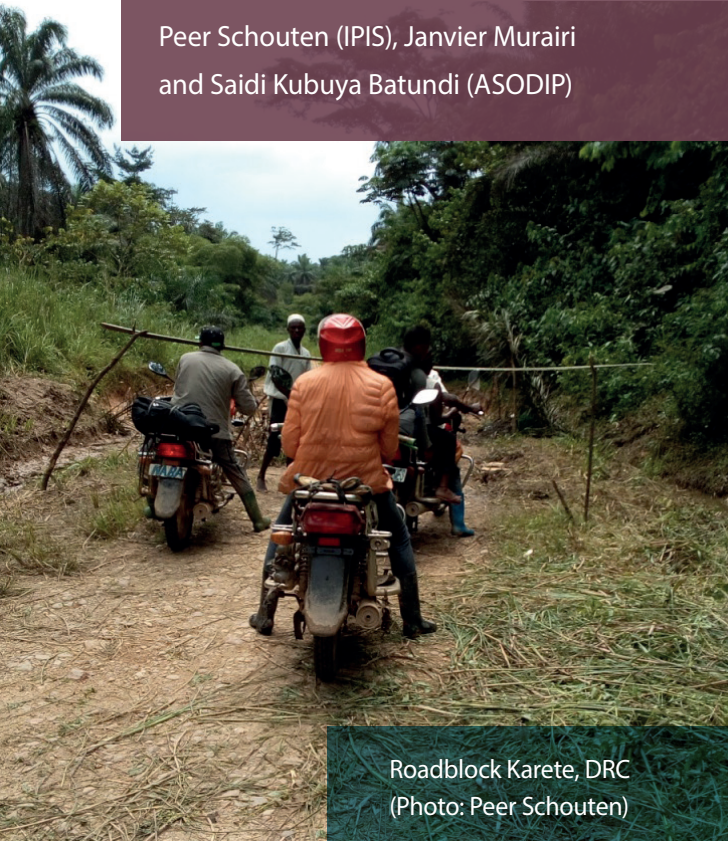
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# Pillage Route: l'économie politique des barrages routiers à Walikale et Masisi

Peer Schouten (IPIS), Janvier Murairi  
and Saidi Kubuya Batundi (ASODIP)



Roadblock Karete, DRC  
(Photo: Peer Schouten)

## Description

Roadblocks are a ubiquitous phenomenon in eastern Congo. In an effort to map the scope of this phenomenon as well as its significance in the political economy of conflict, IPIS has embarked on a collaboration with the Danish Institute for International Studies. This report provides information on the presence of and actors operating roadblocks in the territories of Walikale and Masisi, both in the province of North Kivu. Based on an analysis of in total 134 mapped roadblocks, the report discusses the relative importance of roadblocks for the political economy of conflict in these two territories.

The research resulted in a map and report that was widely shared nationally and internationally.

## Output

Report “Pillage route: l'économie politique des barrages routiers à Walikale et Masisi”.

➡ [https://ipisresearch.be/publication/pillage-route-leconomie-politique-des-barrages-routiers-walikale-et-masisi/?\\_sf\\_s=walikale+et+masisi](https://ipisresearch.be/publication/pillage-route-leconomie-politique-des-barrages-routiers-walikale-et-masisi/?_sf_s=walikale+et+masisi)

## Funded by

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# Business & Human Rights

2016 Training in Dar es Salaam,  
Tanzania (Photo: IPIS)



## Workshop on corporate accountability in Tanzania

Hans Merket and Alexandre Jaillon

### Description

From 23 to 27 May 2016, two IPIS researchers organised and facilitated a workshop in Dar es Salaam on engaging corporate accountability for human right impacts of extractive companies. Participants consisted of very engaged civil society representatives from all over the country that work specifically on these issues, namely HakiMadini, CESOPE, FAWOPA, Tanzanian Human Right Defenders Coalition, Governance Links, Business and Human Rights Tanzania, MRENGO, Hakirasilimali as well as the national Commission for Human Rights and Good Governance.

### Output

The four-day workshop spanned sessions ranging from human rights monitoring on the extractive sector, to using this gathered data as part of an engagement strategy for corporate accountability.

### Funded by

The Belgian  
Development Cooperation

THE BELGIAN  
DEVELOPMENT COOPERATION **.be**



# Human rights and mining in Tanzania

Hans Merket and Ken Matthyssen

Regional office of the Ministry of energy and minerals, Geita, Tanzania (Photo: IPIS)



## Description

In december 2016 IPIS committed to a new project funded by the Belgian Development Cooperation. It concerns a mapping of the socio-economic and human rights situation of artisanal and industrial mining in Northwest Tanzania.

In a first phase, IPIS will undertake a large-scale mobile data collection exercise on operational, socio-economic and human rights aspects of artisanal and industrial mining in the area. This will be done in close cooperation with the Tanzanian mining authorities and local civil society. Building on this baseline data, IPIS will in a second phase develop and implement a phone-based incident reporting/feedback mechanism for local and mining communities. The project will run until June 2019.

## Output

IPIS aims at publishing an interactive webmap and accompanying reports and at developing an incident reporting tool for local and mining communities.

## Funded by

The Belgian  
Development Cooperation

THE BELGIAN  
DEVELOPMENT COOPERATION **.be**



## OECD Forum on Responsible Mining

### Description

IPIS annually attends the OECD forum on Responsible Mining. During the May 2016 session, IPIS presented its work on mapping of artisanal mining in eastern DRC, a joint OECD-IPIS report on Congo mineral supply chains as well as the report on tourmaline exploitation in South Kivu. IPIS researchers also participated in discussions on due diligence, regional certification mechanisms, the fight against child labor and the promotion of the OECD Guidance in artisanal gold, cobalt and precious minerals exploitation.

### Output

Information sharing and networking with and outreach to governmental, non-governmental and private actors.

### Funded by

The Belgian  
Development Cooperation

THE BELGIAN  
DEVELOPMENT COOPERATION **.be**



# Capacity Enhancement



Workshop Bangui,  
July 2016 (Photo: IPIS)

## Good governance, Workshop Bangui

Alexandre Jaillon

### Description

From 29 June to 1 July, IPIS facilitated a workshop for 25 local Civil Society Organisations in Bangui (Central African Republic). The training was co-organised with the USAID Property Rights and Artisanal Diamond Development (PRADD II) project. The objectives of this workshop were to heighten awareness and knowledge of good natural resource governance in the diamond sector among local civil society actors, and to develop a national strategy for civil society organisations working on natural resources in the CAR.

### Output

Training session

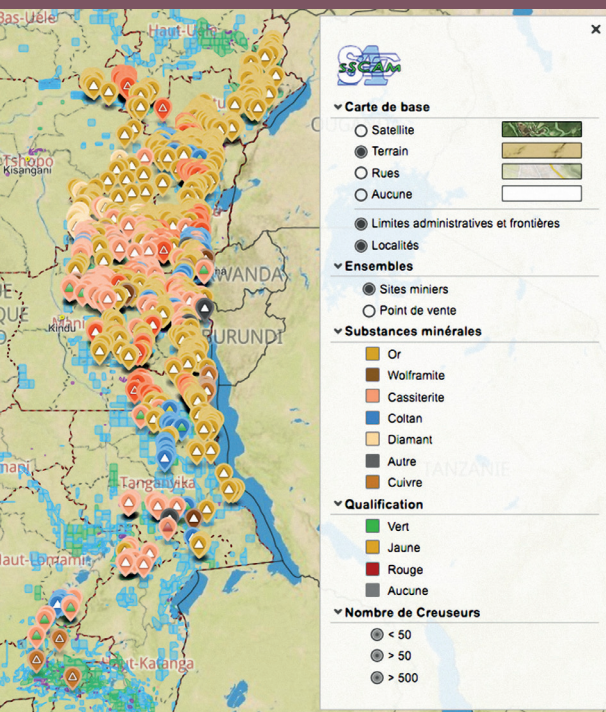
### Funded by

City of Antwerp



# SAESSCAM database on artisanal and small-scale mining

Alexandre Jaillon



screenshot Saesscam map

## Description

As part of a project to support good governance in the artisanal and small-scale mining sector in the Democratic Republic of Congo (DRC), IPIS has delivered a functional web application and database for the Congolese Service d'assistance et d'encadrement du Small-scale Mining (SAESSCAM) to record production data and other information from artisanal mining sites as well as a publicly accessible interactive webmap.

IPIS executed the project in partnership with Pact and Estelle Levin Ltd.. In this project, IPIS reviewed existing data collection processes and developed an online platform where SAESSCAM agents from the provincial antennas can register artisanal production data on mining sites and transaction at selling points as well as update the number of workers on each site or report incidents.

## Output

An interactive webmap is publicly available through SAESSCAM website and displays coordinates of more than 2000 artisanal mining sites in Eastern DRC as well as important traceability and due diligence information such as type of mineral and production volumes per territory, ministerial qualification, number of workers per site and location of mining licenses. IPIS organised training sessions on the use of the database for SAESSCAM staff.

## Funded by

Promines

In collaboration with PACT and Estelle Levin





# Cartography and artisanal mining sites inspection

Guillaume de Brier and Hans Merket

## Description

From 10 to 14 December 2016, IPIS organised a training on cartography and artisanal mine site inspections in Mambasa, Ituri. The aim of this training was to launch and prepare the ground for IPIS' artisanal gold monitoring pilot, financed by the Public-Private Alliance for responsible minerals trade (PPA).

On the first day, around 25 representatives of SAESSCAM (Service d'assistance et d'encadrement du Small-scale Mining), Mining Division, mine site managers and civil society participated in interactive sessions on the national, regional and international frameworks for responsible minerals.

The four subsequent days were dedicated to the intensive training of SAESSCAM and civil society surveyors who will conduct joint mine site inspection missions between



Workshop on cartography and artisanal mine site inspection in Mambasa, DRC (Photo: IPIS)

January and June 2017. Two IPIS researchers, two experienced local surveyors and the new IPIS focal point in Bunia engaged with these future surveyors to instruct them on conducting mine site inspections, geology, planning field missions, risk management, mobile data collection, confidentiality, expected results and the follow-up of performances.

## Funded by

PPA



## Voices from Eastern DRC

### Description

Throughout its research activities, IPIS collaborates with partners in the South. Their research and activities provide a particular view and analysis on subjects related to IPIS' work. In order to bring their research and publications to a wider audience, IPIS aims at launching a series with publications from partners. IPIS has embarked on a collaboration with five organisations in Eastern DRC to provide the necessary support to disseminate their research output. The reports do not represent IPIS' research or views, but provide an insight in how partners in the south analyse the issues and realities they are confronted with. IPIS has worked together with CEADHO, CDJP, ALDECO, ASADHO and OGP.

### Output

Series of reports "Voices from Eastern DRC", upcoming.

### Funded by

The Belgian Development  
Cooperation

THE BELGIAN  
DEVELOPMENT COOPERATION **.be**



A photograph of four men sitting and talking outside a building with a textured orange wall and a green door. One man is sitting on a wooden stool, another on a stack of tires, and two others on wooden stools. A large red umbrella is open on the right side of the frame.

# Communication

On the road from Goma to Kigali, Rwanda  
(Photo: IPIS)

Since June 2008, IPIS has been compiling a weekly briefing, providing an overview of relevant news reports from reliable sources on natural resources and arms trade/security in the African Great Lakes Region (DRC, Rwanda, Burundi and Uganda). The briefings are compiled by systematically scanning a selected number of websites from relevant media, NGOs, multilateral and governmental organisations and research institutes. Less readily publicly available information is also included, either from other briefings and newsletters, or from publications purchased for the IPIS' specialist working library. Additionally, the briefing includes information on IPIS' latest publications and upcoming events.

➔ <https://ipisresearch.be/weekly-briefing/>





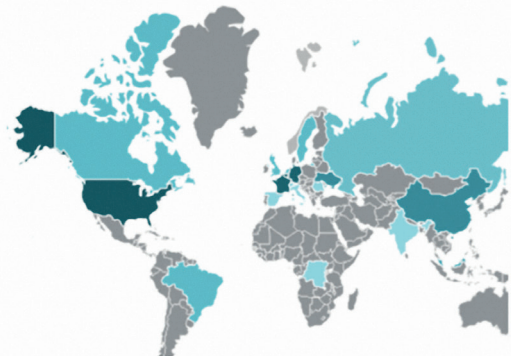
## Social media

Social media have become our primary means to interface with the public. IPIS aims at embedding the reports and interactive maps in a user-friendly way. Reports and maps are accessible and visually appealing with Issuu reader and quick download functions. The accessibility of our website has been improved in 2016 with refined search functions.

 **Twitter: 2473 volgers**

 **Facebook: 654 volgers**

### WEBSITE VISITORS 2016



**156,914 visitors**

Number of unique visitors to the IPIS website in 2016.



**973,563 visits**

Number of visits to the IPIS website in 2016.





Mining site, Kampene, Maniema, DRC  
(Photo: IPIS)

## Networks and platforms

- Public-Private Alliance for Responsible Minerals Trade (PPA)
- European Partnership for Responsible Minerals (EPRM)
- European Network for Central Africa (EurAc)
- Adviesraad voor Ontwikkelingssamenwerking Antwerpen (AROSA)
- Provinciaal NGO-Overleg Provincie Antwerpen
- Belgisch Netwerk Natuurlijke Rijkdommen (BNNR)
- Kimberley Process Civil Society Coalition (KPCSC)
- Centraal-Afrika Overleg 11.11.11 (CAO)
- Vlaams Vredesinstituut
- Sociare: Socioculturele werkgeversorganisatie voor PC 329
- Procura: Kenniscentrum van groep ARCO voor non-profit en sociale economie
- Vlaamse Studie- en Documentatiecentrum voor vzw's (VSDC)
- NGO Federatie: Flemish federation of Development NGOs (formerly COPROGRAM)
- Library Network of the University of Antwerp (Anet)



# Lectures and presentations

15 Jan	Consultation on the optimisation of the Flemish Arms Trade Decree, Department International Flanders, Brussels (by Filip Reyniers, Peter Danssaert, Markus Falhbush)
02 Feb	Lecture on 'conflict minerals' for VIE, Gent (by Filip Reyniers)
22 Feb	Lecture on 'arms control' for the University of Antwerp (by Filip Reyniers)
24 Feb	Early Warning Roundtable "Conflict Risks and Electoral Tension in DRC, Sofitel, Brussels (by Ken Matthysen)
29 Feb	Arms Trade Treaty Extraordinary Meeting of States Parties, Geneva (by Markus Fahlbush)
10 Mar	Presentation on 'conflict minerals' for the European Commission, Brussels (by Lotte Hoex and Yannick Weyns)
14 Mar	Panel debate on the upcoming EU conflict minerals regulation, for COMECE, Brussels (by Lotte Hoex)
14 Mar	Great Lakes Working Group: Meeting on electoral process in DRC, OSEPI Office, Brussels (by Ken Matthysen)

14 Mar	Presentation on DRC Mapping, and discussion on Kimberley Process, Belgian Network Natural Resources, Brussels (by Ken Matthysen and Alexandre Jaillon)
	Guest Lecture on Business and Human Rights for Ghent University (Fiona Southward and Hans Merket).
16 Mar	Lecture on the situation in the Central African Republic, for International Perspective, Antwerp (by Yannick Weyns)
26 Apr	CFSI-EICC Workshop on Conflict Minerals legislation in EU, Sofitel, Brussels (by Ken Matthysen)
09 May	OECD May Forum on Responsible Mineral Supply Chains, Paris (by Fiona Southward, Alexandre Jaillon and Hans Merket)
12 May	Presentation at the launch of the European Partnership for Responsible Minerals (EPRM), Brussels (by Ken Matthysen)
24 May	Presentation on parliamentary hearing on Eastern DR Congo, Federal Chamber, Brussels (by Ken Matthysen and Yannick Weyns)

<b>02 Jun</b>	EurAc General Assembly, Conference in the European Parliament on elections in DR Congo, Thematic Working Groups (by Ken Matthysen)
<b>07 Jun</b>	Conference on Elections, Egmont Institute, Brussels (by Ken Matthysen)
<b>14 Jun</b>	COARM-NGO Conference, Brussels (by Markus Fahlbush)
<b>24 Jun</b>	Presentation on parliamentary hearing on arms brokering, Federal Chamber, Brussels (by Filip Reyniers)
<b>06 Sep</b>	Presentation of Tourmaline Report, International Jewellery London (by Fiona Southward)
<b>09 Sep</b>	Round Table 'North Seminar', KU Leuven (by Hans Merket)
<b>16 Sep</b>	Humanitarian OpenStreetMap Summit, Brussels (by Alexandre Jaillon and Manuel Claeys Bouuaert)
<b>27 Sep</b>	Round Table on Flemish arms export policies, Flemish Peace Institute, Brussels (by Markus Fahlbush)
<b>05 Oct</b>	Lecture on Arms Trade Treaty compliance, University of Tilburg (by Markus Fahlbusch)

<b>19 Oct</b>	Animation of a workshop on Gephi, a network visualisation tool, CartONG GeONG forum, Chambery, France (by Alexandre Jaillon)
<b>24 Oct</b>	Analytic-A-Thon, Session on IPIS data analysis, Cogisant Office, Brussels (by alexandre Jaillon and Manuel Claeys Bouuaert)
<b>24 Oct</b>	Participation to UN Day Flanders, Flemish Parliament (by Hans Merket)
<b>07 Nov</b>	Presentation of our GIS work during the panel discussion GIS4Peace, Geneva Peace Week, Geneva (by Alexandre Jaillon)
<b>08 Nov</b>	Presentation on Gold Project and DRC Mapping, PPA-CFSI Conference, Santa Clara, California, US (by Fiona Southward)
<b>30 Nov</b>	Presentation at BIS Group Conference on Conflict Minerals Supply Chain Compliance and Transparency, Golden Tulip, Berlin (by Ken Matthysen)
<b>13 Dec</b>	Presentation on conflict mapping for the French Ministry of Foreign Affairs, Paris (by Lotte Hoex and Alexandre Jaillon)
<b>20 Dec</b>	Het Conflict in de Centraal-Afrikaanse Republiek in kaart gebracht, Antwerp (by Fiona Southward and Peer Schouten)



# Library

The thematic division of our library corresponds to the key themes of our research: arms trade/security, the exploitation of natural resources, and corporate social responsibility in Sub-Saharan Africa. In addition to this, there is a separate section with reference books and general publications (on politics, history etc.).

The IPIS collection has been integrated into Anet, the library network of the University of Antwerp, connecting about twenty scientific libraries in Flanders. All these libraries use the Brocade Library Services as a system. Brocade is a fully integrated and web-based system, developed by the University of Antwerp since 1998. The catalog contains about 2.500.000 entries. Integrating our library in this system ensures that our specialised collection of books, journals, and IPIS reports will be opened up to the scientific research community and interested public.

In order to provide interested audiences with the opportunity to consult our collections, we provide open access to our library during visiting hours on Wednesday afternoon or after appointment if assistance of a particular researcher is required.

## Journals and magazines

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- Africa Confidential
- Africa Energy Intelligence
- Africa Mining Intelligence
- Jane's Defence Weekly
- Jane's International Defence Review
- La lettre du continent
- Strategic Survey
- The Military Balance

The formation of the collection is the responsibility of our researchers. It is of vital importance for their information gathering. By permanently processing purchased and otherwise acquired publications, they can expand their expertise. In addition to that, the library is open for non-IPIS-researchers, students and stakeholders who want to expand their knowledge of our core themes and put it to use in their various work or education.

## Events



Mapathon at the IPIS library  
(Photo: IPIS)

### Mapathons

In 2016, IPIS, Missing Maps and Open Street Maps Belgium have hosted three mapathons in the IPIS library. The aim of mapathons is to extend the reach of OpenStreetMap, a free and open map of the world, in developing countries, which in turn facilitates support to those in need. NGO's rely on good maps to reach remote areas and vulnerable people, but often no detailed maps exist in developing regions. During a mapathon, volunteers are taught how to trace satellite imagery into OpenStreetMap, and

spend a pleasant evening extending the map together. No experience is required to participate. IPIS saw an increasing interest from the public for this event and welcomed over 50 participants in 2016. The mapathons allow IPIS to share its cartographic experience and geographical expertise with a wider audience, to engage with a young and thriving movement and to contribute to the open source and open data community.

### Het Conflict in de Centraal-Afrikaanse Republiek in kaart gebracht

IPIS has organised a panel discussion and screening of the documentary "United in Hate: the Fight for Control in CAR" to share the findings of research in the Central African Republic conducted in 2016. In a report published in Dutch, IPIS shared new findings on conflict motives, incidents and insights on roadblocks in CAR, building on comprehensive research and mapping in 2014 and 2015.

The IPIS map, reports and research findings on the Central African Republic have proven to be invaluable for a number of actors active in the CAR. IPIS will continue its research and mapping activities in 2017 with the support of the US State Department.

Through the panel discussion in Antwerp, IPIS shared its findings and expertise with a Flemish audience.

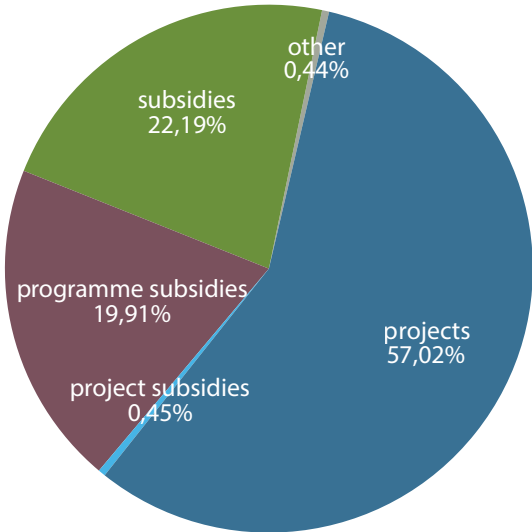


# Organisation



# Finances

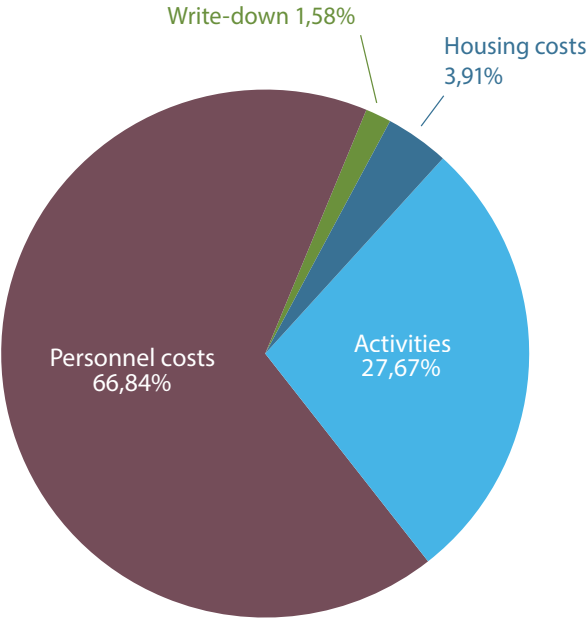
## Turnover



**Turnover** 1.019.251 €

Projects	581.154 €
Projects subsidies	4.550 €
Programme subsidies	202.941 €
Subsidies	226.162 €
Other	4.444 €

## Expenses



**Expenses** 1.028.614 €

Housing costs	40.197 €
Activities	284.573 €
Personnel costs	687.543 €
Write-down	16.301 €
Other	0 €

# Assignments

## 2016

### **‘Mapping Conflict Motives in The Central african Republic’**

*With the support of the Belgian Development Cooperation*

### **‘Mapping security and human rights around artisanal mining areas in Eastern DRC: Analysis and interactive map of artisanal mining areas in eastern DRC’**

*For: IOM, USAID, World Bank/Promines*

### **‘Database on mineral flows’**

*For: International Conference on the Great Lakes Region (ICGLR)*

### **‘Civil Society incident and impact monitoring in Eastern DRC’**

*For: Apple*

### **‘Third Party Review of the Bisie Security Report’**

*For: Conflict Free Sourcing Initiative (CFSI)*

### **‘Coloured gemstones in Eastern DRC: Tourmaline exploitation and trade in the Kivus’**

*With the support of the Belgian Development Cooperation*

### **‘Extractive Orders: political geography of public authority’**

*With the support of the Belgian Development Cooperation*

### **‘Kimberley Process – peer review mission’**

*For: Kimberley Process, with the support of PAC*

### **‘E-learning modules for the implementation of the Arms Trade Treaty’**

*For: UNSCAR*

### **‘Hotel Hercules. Flying CIA and UN Missions from Jamba to Phnom Penh’**

*For: TransArms USA, with the support of the Belgian Development Cooperation*

### **‘China North Industries Corporation (Working paper 2)’**

*For: Omega Research Foundation, with the support of the Belgian Development Cooperation*

### **‘Fatal Freight. Ships, guns and human rights abuses’**

*For: TransArms USA, with the support of the Belgian Development Cooperation*

### **‘Optimisation of the Flemish Arms Trade Decree’**

*For: Amnesty International Belgium/ Flemish Section, with the support of the Belgian Development Cooperation*

### **‘Accesible and interactive: new methods of data visualisation as tools for data analysis and information sharing in tranistional justice research’.**

*For: Transitional Justice Review, with the support of the Belgian Development Cooperation*

### **‘Workshop on Corporate Accountability in Tanzania’**

*For: the Belgian Development Cooperation*

### **‘Human Rights and Mining in Tanzania’**

*For: the Belgian Development Cooperation*

### **‘Workshop on Good Governance in Bangui’**

*With the support of the city of Antwerp*

### **‘SAESSCAM database on artisanal and small scale mining’**

*For: Promines (World Bank)*

### **‘Cartography and artisanal mining sites inspection. Training’**

*For: PPA*



## 'Het Conflict in de Centraal-Afrikaanse Republiek in kaart gebracht'

*With the support of the Belgian Development Cooperation*

## 'Voices from Eastern DRC'

*With the support of the Belgian Development Cooperation*

# 2015

## 'Review of the Burundian Artisanal Gold Mining Sector'

*For: BGR*

## 'The Right to Water and sanitation: A practical Guide'

*For: BGR*

## 'Mineral Supply Chains and Conflict Links in Eastern DRC'

*For: OECD*

## 'Political Power mapping in Eastern DRC'

*For: Search for Common Ground*

## 'Production of maps on DRC mining concessions'

*For: PAX*

## 'Business&Human Rights: what's on the agenda'

*For: the Belgian Development Cooperation*

## 'Thorns amongst the roses. A cross-country analysis of human rights issues in flower farms in East-Africa'

*For: Ghowern, with the support of the Belgian Development Cooperation*

## 'Capacity building on mining issues for civil society in Katanga, DR Congo'

*For: 11.11.11 with the support of the Belgian Development cooperation*

## 'Analysis of conflict dynamics related to semi-industrial gold exploitation in North and South Kivu'

*For: Life&Peace Institute*

## 'Participation in the joint context analysis on DR Congo, Burundi, Tanzania and Kenya'

*For: The Belgian Development Cooperation*

## 'E-learning modules for the implementation of the Arms Trade Treaty'

*For: UNSCAR*

## 'Weapons and International Law: the Arms Trade Treaty'

*For: Larcier, with the support of the Belgian Development Cooperation'*





## 2014

### **‘Supply chains and transport corridors in East Africa’**

*For: TransArms USA, with the support of the Belgian Development Cooperation*

### **‘China North Industries Group Corporation’**

*For: Omega Research Foundation, with the support of the Belgian Development Cooperation*

### **‘The Arms Trade Treaty: prospects and challenges as it enters into force’**

*For: With the support of the Belgian Development Cooperation*

### **‘IPIS Insights: Pentagon accidentally arms Al Qaeda affiliate’**

*For: With the support of the Belgian Development Cooperation*

### **‘IPIS Insights: The 2nd Annual UN Forum on Business and Human Rights, Geneva 2013’**

*For: With the support of the Belgian Development Cooperation*

### **‘IPIS Insights: The EU draft law on conflict minerals due diligence: a critical assessment from a business & human rights standpoint’**

*For: With the support of the Belgian Development Cooperation*

### **‘The adverse human rights risks and impacts of European companies: getting a glimpse of the picture’**

*For: European Coalition for Corporate Justice, with the support of the Belgian Development Cooperation*

### **‘IPIS Insights: Diamonds in the Central African Republic’**

*For: With the support of the Belgian Development Cooperation*

### **‘Business and human rights in Uganda: what’s on the agenda?’**

*For: With the support of the Belgian Development Cooperation*



Mambasa, DRC (Photo: IPIS)

### **‘Learning Programme in Tanzania’**

*For: With the support of the Belgian Development Cooperation*

### **‘Outreach & research on responsible engagement in the Burundian gold sector’**

*For: Partnership Africa Canada (PAC) and the German Federal Institute for Geosciences and Natural Resources (BGR)*

### **‘Strategies and possibilities for intervention in gold producing co-operatives in the DR Congo’**

*For: Partnership Africa Canada (PAC)*

### **‘The Belgian Development Cooperation and fragile states: a gap between policy and practice?’**

*For: 11.11.11, with the support of the Belgian Development Cooperation*

### **‘Mapping artisanal mining areas in Eastern DRC’**

*For: the research and the map were funded by World Bank / Promines and the Belgian Foreign Affairs. The analysis was funded by the Belgian Development Cooperation*

### **‘Conflict motives in the Sudan – South Sudan border area’**

*For: with the support of the Belgian foreign Affairs*

### **‘Mapping conflict motives in the Central African Republic’**

*For: with the support of the World Bank, Social Cohesion and Violence Prevention Unit*

### **‘Remote sensing: validating satellite imagery of mining sites through field observations’**

*For: Deutsches Zentrum für Luft- und Raumfahrt (DLR)*





# IPIS team

On 1 January 2017 the IPIS team was composed of the following persons:

## Administration

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**Brian Wood**  
**Steven Spittaels**



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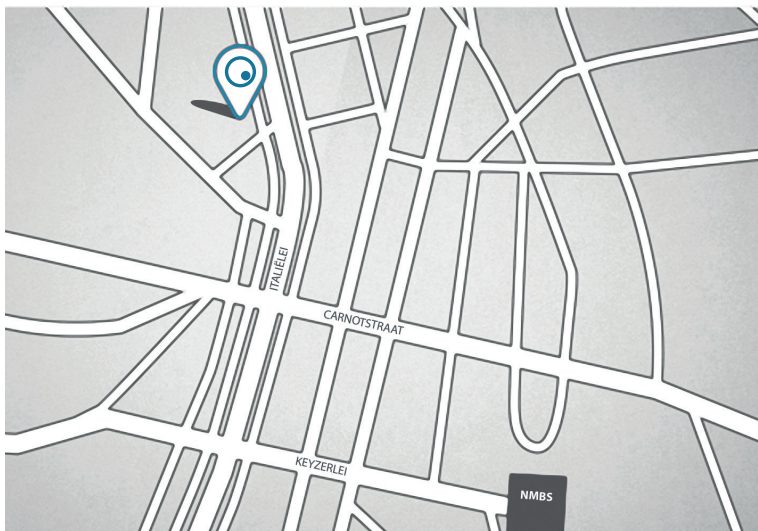
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capacity building for durable peace,  
sustainable development  
and human rights***

